



## Novel Mammalian Cell Cycle Inhibitors, Spirotryprostatins A and B, Produced by *Aspergillus fumigatus*, Which Inhibit Mammalian Cell Cycle at G2/M Phase<sup>1)</sup>

Cheng-Bin Cui, Hideaki Kakeya and Hiroyuki Osada\*

The Institute of Physical and Chemical Research (RIKEN),  
Hirosawa 2-1, Wako-shi 351-01, Saitama, Japan

**Abstract:** Two novel diketopiperazine alkaloids, spirotryprostatins A and B, were isolated as new inhibitors of the mammalian cell cycle from the secondary metabolites of *Aspergillus fumigatus* through a separation procedure guided by cell cycle inhibitory activity. The structures of spirotryprostatins A and B were determined by spectroscopic methods especially by detailed analyses of their <sup>1</sup>H and <sup>13</sup>C NMR spectra with the aid of 2D NMR techniques. Spirotryprostatins A and B had a novel structural skeleton with an unique spiro ring system and inhibited the cell cycle progression of tsFT210 cells at the G2/M phase with IC<sub>50</sub> values of 197.5 μM and 14.0 μM, respectively.  
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As products of oncogenes and tumor suppressor genes are involved in the regulation of mammalian cell cycle<sup>2-3)</sup> and also as cancers in fact are the uncontrolled cell proliferation with deregulation of cell cycle<sup>4)</sup>, new cell cycle inhibitors might be good candidates for cancer chemotherapy and also be a source for providing molecular probes useful in elucidating regulatory mechanism of the cell cycle<sup>5)</sup>. We have therefore begun on the screening for new cell cycle inhibitors from microbial origin<sup>5-9)</sup>. During the screening, we have previously reported three new natural diketopiperazines, tryprostatins A, B and demethoxyfunitremorgin C, together with four known diketopiperazines, funitremorgin C, 12,13-dihydroxyfunitremorgin C, funitremorgin B and verruculogen, as a new group of M-phase inhibitors of the mammalian cell cycle, which were isolated from the fermentation broth of a fungus, *Aspergillus fumigatus*<sup>6,8,9)</sup>.

In the continuation of that work, in order to obtain larger amounts of those compounds to examine their biological activities in detail, we carried out a large scale fermentation of the producing strain. From the fermentation broth, we have now isolated two novel diketopiperazine alkaloids, spirotryprostatins A (**1**) and B

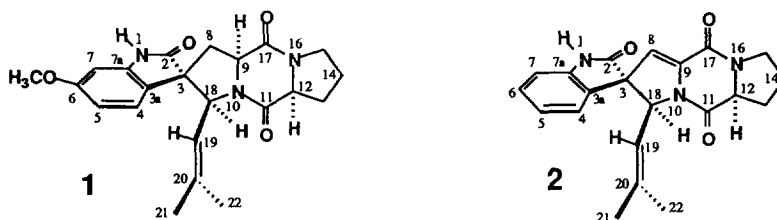


Chart 1 Structures of Spirotryprostatins A (**1**) and B (**2**).

(2), together with the former four compounds in a larger quantity through a separation procedure guided by inhibitory activity on the cell cycle progression of mouse tsFT210 cells. Both the compounds **1** and **2** inhibited the cell cycle progression of tsFT210 cells at the G2/M phase. In this paper, we mainly describe the isolation, structure determination and biological activities of **1** and **2**.

#### Fermentation and Isolation

The producing strain was cultured in a 600-liter jar fermenter containing 400 liters of fermentation medium (glucose 3%, soluble starch 2%, soybean meal 2%, K<sub>2</sub>HPO<sub>4</sub> 0.5% and MgSO<sub>4</sub>·7H<sub>2</sub>O 0.05%, adjusted at pH 6.5 before sterilization) containing 0.05% of CA-123 and KM-68 antifoam, respectively. The fermentation was carried out at 28°C for 66 hours under 350 rpm stirring speed and 200 liters/minute aeration rate.

The whole broth was filtrated to separate to a broth supernatant (370 L) and a mycelial cake. The latter was extracted with 90% aqueous acetone which was evaporated *in vacuo* to remove acetone. Both the broth supernatant and the mycelium extract (60 L) were extracted respectively with EtOAc. The EtOAc solutions obtained were combined and concentrated *in vacuo* to afford an oily extract (1.2 L) which was further purified as shown in Fig. 1 to give an active extract (66 g). This extract was then separated by a combination of silica gel column chromatography, middle pressure liquid chromatography and repeated HPLC (CAPCELL PAK C-18 and CAPCELL PAK C-8, Shiseido) to give **1** (1.2 mg) and **2** (11 mg) (Fig. 1), respectively, together with

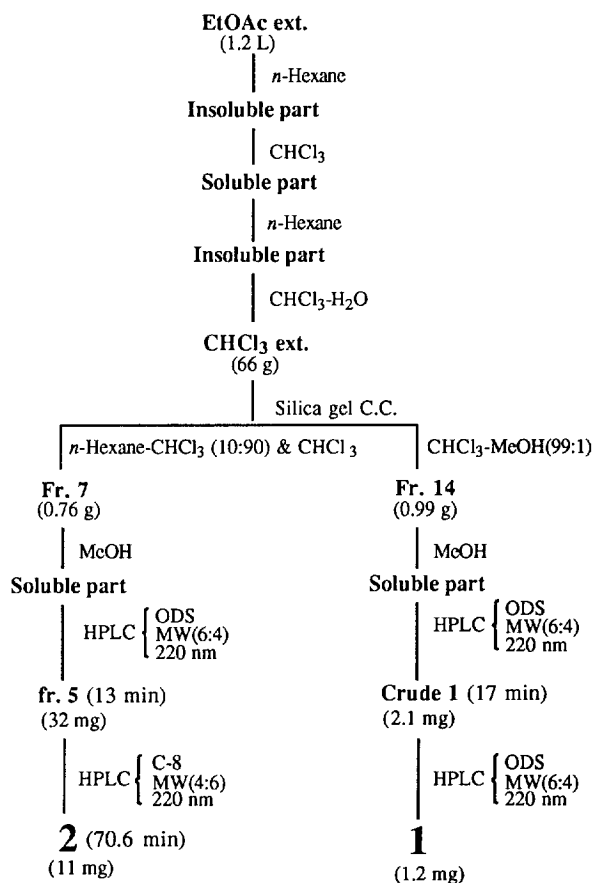


Fig. 1. Isolation Procedure for **1** and **2**.

MW is the abbreviation of MeOH-Water.

tryprostatins A (1048 mg), B (64.4 mg), demethoxyfumitremorgin C (159.6 mg) and fumitremorgin C (903.5

mg). The latter four compounds were identified by direct comparison with authentic samples<sup>9</sup>), respectively.

*Physico-chemical Properties of Spirotryprostatins A (1) and B (2)*

Both spirotryprostatins A (1) and B (2) were obtained as slightly yellow-colored forms and their physico-chemical properties are summarized in Table 1.

**Table 1.** Physico-chemical Properties of Spirotryprostatins A (1) and B (2).

Characteristics	1	2
Appearance	Pale Yellow Amorphous Powder	Pale Yellow Crystalline Solid
MP	—	137-138°C
$[\alpha]_D^{26}$ in $\text{CHCl}_3$	$[\alpha]_D^{26}$ -34.0°(c 0.10)	$[\alpha]_D^{22}$ -162.1°(c 0.92)
Molecular Formula	$\text{C}_{22}\text{H}_{25}\text{N}_3\text{O}_4$	$\text{C}_{21}\text{H}_{21}\text{N}_3\text{O}_3$
Molecular Weight	395	363
EI-MS $m/z$	395 ( $\text{M}^+$ , 100%), 220 (58%)	363 ( $\text{M}^+$ , 100%), 266 (60%)
HR-EI-MS	$\text{M}^+$	$\text{M}^+$
Found ( $m/z$ )	395.1804	363.1612
Calcd ( $m/z$ )	395.1801	363.1609
UV $\lambda_{\text{max}}^{\text{MeOH}}$ nm ( $\epsilon$ )	219 (15920), 245 (sh, 4400), 270 (3020), 280 (sh, 2960)	212 (36660), 227 (sh, 28820), 242 (sh, 24830), 272 (sh, 17350), 286 (sh, 14810)
IR $\nu_{\text{max}}^{\text{KBr}}$ $\text{cm}^{-1}$	3430, 3270 (NH), 2970, 2935, 2880, 2850 ( $\text{CH}_3$ & $\text{CH}_2$ ), 1715 ( $\gamma$ -lactam C=O), 1680, 1665 (amide C=O), 1635, 1480, 1425, 755	3440, 3240 (NH), 2970, 2930, 2870, 2860 ( $\text{CH}_3$ & $\text{CH}_2$ ), 1730 ( $\gamma$ -lactam C=O), 1680, 1655 (amide C=O), 1640 (C=C), 1470, 1420, 750

*Structural Elucidation for Spirotryprostatin A (1)*

Spirotryprostatin A (1) was obtained as a pale yellow amorphous powder and showed  $[\alpha]_D$  -34.0° ( $\text{CHCl}_3$ ). The molecular formula of 1 was determined to be  $\text{C}_{22}\text{H}_{25}\text{N}_3\text{O}_4$  by HR-EI-MS measurement (Found 395.1804 ( $\text{M}^+$ ), calcd for  $\text{C}_{22}\text{H}_{25}\text{N}_3\text{O}_4$  395.1801), which was well consistent with its  $^1\text{H}$  and  $^{13}\text{C}$  NMR data (Table 2). In the UV spectrum, 1 showed absorption maxima ascribable to a substituted benzene ring at 219 ( $\epsilon$  15920) and 270 nm (3020), and the IR spectrum of 1 showed absorption bands at 3430, 3270 (NH), 2970, 2935, 2880, 2850 ( $\text{CH}_3$  and  $\text{CH}_2$ ), 1715 ( $\gamma$ -lactam C=O), 1680 and 1665  $\text{cm}^{-1}$  (amide C=O) in the functional group region.

In the  $^1\text{H}$  NMR spectrum, 1 showed signals due to an N-H proton ( $\delta$ 7.64 br s, 1-H), an 1,2,4-trisubstituted benzene ring ( $\delta$ 6.93 d,  $J=8.5$  Hz, 4-H;  $\delta$ 6.50 dd,  $J=8.5, 2.4$  Hz, 5-H; and  $\delta$ 6.43 d,  $J=2.4$  Hz, 7-H), an olefinic proton ( $\delta$ 5.03 dm,  $J=10.0$  Hz, 19-H) and a methoxy ( $\delta$ 3.80 s,  $\text{OCH}_3$ ) and two methyl ( $\delta$ 1.65 s, 21- $\text{H}_3$  and  $\delta$ 1.26 s, 22- $\text{H}_3$ ) groups along with signals due to several methine and methylene groups (Table 2). The  $^{13}\text{C}$  NMR spectrum of 1, analyzed by the DEPT method, indicated the presence of three amide carbonyls

( $\delta$ 180.86 s, C-2;  $\delta$ 167.07 s, C-11; and  $\delta$ 166.94 s, C-17), an oxygen-bearing  $sp^2$  carbon ( $\delta$ 160.37 s, C-6) and a methoxy ( $\delta$ 55.46 q, OCH<sub>3</sub>) and two methyl ( $\delta$ 25.50 q, C-21 and  $\delta$ 17.98 q, C-22) groups together with four  $sp^2$  and three  $sp^3$  methines, three  $sp^2$  and a  $sp^3$  quaternary carbons, and three methylene groups (Table 2).

**Table 2.** 400 MHz <sup>1</sup>H and 100 MHz <sup>13</sup>C NMR data for Spirotryprostatin A (1) in chloroform-*d*<sup>a</sup>

Positions	$\delta_H$ ( <i>J</i> in Hz)	NOE's <sup>b</sup>	$\delta_C$	HMBC	
				2-bonds <sup>c</sup>	3-bonds <sup>d</sup>
1 (NH)	7.64 br s	7	-----		
2	---		180.86 <sup>e</sup> s		8 $\alpha$ , 18
3	---		59.99 s	8 $\beta$	
3a	---		118.70 s		5, 7
4	6.93 d (8.5)	5, 19	127.24 d		
5	6.50 dd (8.5, 2.4)	4, OCH <sub>3</sub>	106.77 d		7
6	---		160.37 s	7	4, OCH <sub>3</sub>
7	6.43 d (2.4)	1, OCH <sub>3</sub>	96.66 d		5
7a	---		141.68 s		4
8 $\alpha$	2.39 dd (13.2, 6.8)	8 $\beta$ , 9	34.30 t		
$\beta$	2.60 dd (13.2, 10.5)	4, 8 $\alpha$ , 19			
9	4.99 dd (10.5, 6.8)	8 $\alpha$ , 12, 18	58.47 d	8 $\beta$	
11	---		167.07 <sup>f</sup> s		
12	4.28 dd (8.3, 7.8)	8 $\alpha$ , 9	61.02 d		
13	2.27 m		27.41 t	12	
	2.31 m				
14	1.97 m		23.64 t		
	2.07 m				
15	3.57 m		45.18 t		
	3.61 m				
17	---		166.94 <sup>g</sup> s		
18	4.78 d (10.0)		60.15 d		8 $\beta$
19	5.03 dm (10.0)	4	121.30 d	18,	21, 22
20	---		138.39 s	21, 22	
21	1.65 s	19, 22	25.50 q		19, 22
22	1.26 s	18, 21	17.98 q		19, 21
OCH <sub>3</sub>	3.80 s	5, 7	55.46 q		

a): Signal assignments were based on the results of <sup>1</sup>H-<sup>1</sup>H COSY, PFG-HMQC, PFG-HMBC and difference NOE experiments. b): Numbers in the column indicate the protons at which NOE's were observed in the difference NOE experiment under irradiation of the proton in the corresponding line. c) and d): Numbers in each column respectively indicate the protons coupled with the carbon through two and three bonds, respectively, which were detected in the PFG-HMBC spectrum. e): Signal assignment was based on a comparison with the data (Table 3) of 2. f) and g): Signal assignments were based on a comparison with the data of tryprostatins and related diketopiperazine derivatives<sup>6,9</sup>.

The amide carbonyl absorption at 1680 and 1665  $\text{cm}^{-1}$  together with the absence of the amide II band near 1550  $\text{cm}^{-1}$  in the IR spectrum suggested the presence of a diketopiperazine system<sup>7,9)</sup> in **1**. This was further supported by the amide carbonyl carbon signals at  $\delta 167.07$  and  $\delta 166.94$  (C-11 and C-17) in the  $^{13}\text{C}$  NMR spectrum, which were assignable to the carbonyls in the diketopiperazine system<sup>9)</sup>. The carbonyl absorption at 1715  $\text{cm}^{-1}$  in the IR spectrum, coupled with the  $^{13}\text{C}$  signal of the carbonyl carbon at  $\delta 180.86$  (C-2) in the  $^{13}\text{C}$  NMR spectrum, revealed the existence of a  $\gamma$ -lactam moiety fused to an aromatic ring<sup>1,10)</sup> in **1**.

Detailed analyses of the  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra of **1** with the aid of pulse field gradient (PFG)  $^1\text{H}$ - $^1\text{H}$  COSY and PFG heteronuclear multiple quantum coherence (PFG-HMQC) spectroscopy, coupled with the results of difference NOE experiments and the above structural information, enabled us to deduce partial structures A, B and C (Fig. 2) in **1**.

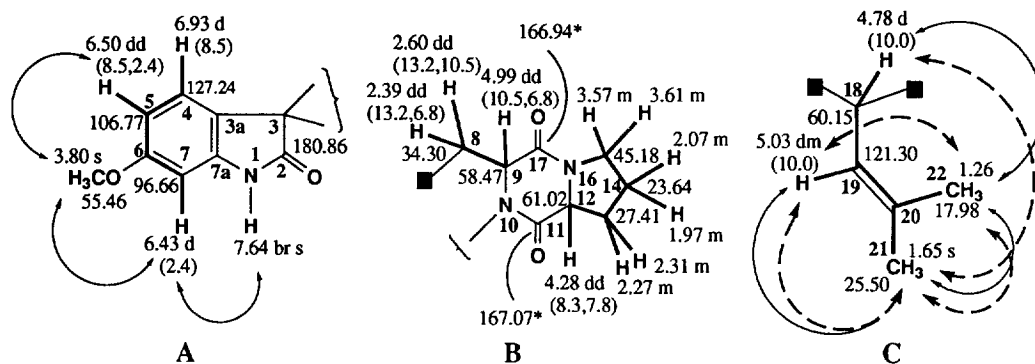


Fig. 2. Partial structures, A, B and C, and NMR data for **1**

Bold lines indicate spin systems obtained by the analyses of  $^1\text{H}$ - $^1\text{H}$  COSY and PFG-HMQC spectra. Dashed line arrows indicate long-range  $^1\text{H}$ - $^1\text{H}$  couplings observed in the  $^1\text{H}$ - $^1\text{H}$  COSY. Solid line arrows indicate NOE's observed in the difference NOE experiments. \*Signals were assigned by comparison with the data of tryprostatins and related diketopiperazine derivatives<sup>6,9)</sup>.

Then, the PFG heteronuclear multiple bond correlation (PFG-HMBC) spectrum was measured in order to determine total structure of **1**. In the PFG-HMBC spectrum (Table 2), the oxygen-bearing quaternary  $sp^2$  carbon at  $\delta 160.37$  (C-6) showed long-range correlations with the benzene protons 4-H ( $\delta 6.93$ ) and 7-H ( $\delta 6.43$ ) and the methoxy protons at  $\delta 3.80$  ( $\text{OCH}_3$ ) in the partial structure A, while the quaternary  $sp^2$  carbons at  $\delta 118.70$  (C-3a) and at  $\delta 141.68$  (C-7a) showed long-range correlations with 5-H ( $\delta 6.50$ ) and 7-H and with 4-H in the partial structure A, respectively. Therefore, they were assigned respectively to C-6 ( $\delta 160.37$ ), C-3a ( $\delta 118.70$ ) and C-7a ( $\delta 141.68$ ) in the partial structure A. The  $\gamma$ -lactam carbonyl carbon ( $\delta 180.86$ , C-2) could be assigned by comparison with the data of **2**, which were exhaustively analyzed and assigned exactly by direct information

from NMR studies (see below). For the partial structure B, the PFG-HMBC spectrum did not give satisfactory long-range correlations to assign the signals for the amide carbonyls because of the scarcity of the sample. However, two carbonyl carbons at  $\delta 167.07$  and  $\delta 166.94$  could be assigned to the amide carbonyl carbons C-11 and C-17 in the partial structure B, respectively, by a comparison of their chemical shift values with those of tryprostatins and other related diketopiperazine derivatives<sup>6,9</sup>. As to the partial structure C, the quaternary  $sp^2$  carbon at  $\delta 138.39$  could be assigned to C-20, which showed long-range correlations with 21- $H_3$  ( $\delta 1.65$ ) and 22- $H_3$  ( $\delta 1.26$ ) in PFG-HMBC spectrum. Signal assignments for those quaternary  $sp^2$  carbons are summarized in Table 2.

Next, in the PFG-HMBC spectrum (Table 2), both 8- $H_\alpha$  ( $\delta 2.39$ ) in the partial structure B and 18-H ( $\delta 4.78$ ) in the partial structure C showed long-range correlations with the C-2 carbonyl carbon ( $\delta 180.86$ ) in the partial structure A, while the 8- $H_\beta$  ( $\delta 2.60$ ) in the partial structure B correlated with the C-18 carbon ( $\delta 60.15$ ) in the partial structure C and the  $sp^3$  quaternary carbon at  $\delta 59.99$  (C-3, in the partial structure A). Therefore, C-8 in the partial structure B and C-18 in the partial structure C could be connected across the C-3 carbon in the partial structure A, and the quaternary carbon at  $\delta 59.99$  could be assigned to C-3. At this stage, from a consideration of the chemical shift of C-18 ( $\delta 60.15$ ) and the molecular formula of **1**,  $C_{22}H_{25}N_3O_4$ , coupled with its unsaturation numbers (among 12 in **1**, 11 have been counted at this stage), C-18 could be linked to the nitrogen atom at the position 10 to form a five-membered spiro ring in **1**. Thus the planar structure of **1** was deduced.

The relative stereochemistry of **1** was determined by difference NOE experiments in which significant NOE's were observed between 12-H, 9-H and 8- $H_\alpha$  ( $\delta 2.39$ ) and between 19-H, 8- $H_\beta$  ( $\delta 2.60$ ) and 4-H, respectively (Table 2). This means that the protons 18-H, 12-H, 9-H and 8- $H_\alpha$  in **1** are all in the *cis*-relations and the protons 4-H, 8- $H_\beta$  and 19-H should be oriented in the same direction in **1**. Therefore, the relative stereochemistry of spirotryprostatin A were determined as shown by the formula **1** in Chart 1. Some other NOE's observed in the difference NOE experiments and long-range  $^1H$ - $^{13}C$  couplings detected in the PFG-HMBC spectrum are summarized in Table 2.

#### *Structural Elucidation for Spirotryprostatin B (2)*

Spirotryprostatin B (**2**) was obtained as a slightly yellow-colored crystalline solid having melting point 137-138°C and showed  $[\alpha]_D -162.1^\circ$  ( $CHCl_3$ ). The molecular formula of **2** was determined to be  $C_{21}H_{21}N_3O_3$  by HR-EI-MS measurement (Found 363.1612 ( $M^+$ ), calcd for  $C_{21}H_{21}N_3O_3$  363.1609), coupled with its  $^1H$  and  $^{13}C$  NMR data (Table 3). The UV spectrum of **2** showed characteristic absorption bands spread broadly over the 200-310 nm region<sup>1</sup>, with the absorption maxima at 212 ( $\epsilon$  36660), 227 (sh, 28820), 242 (sh, 24830) 272 (sh, 17350) and 286 nm (sh, 14810). This might be ascribed to the substituted benzene ring and the  $\alpha,\beta$ -unsaturated carbonyl system in **2**. The IR spectrum of **2** revealed, like that of **1** (Table 1), the presence of a  $\gamma$ -lactam fused to an aromatic ring with the absorption bands at 3440, 3240 (NH) and 1730  $cm^{-1}$  ( $\gamma$ -lactam C=O), the presence of methyl and methylene groups with 2970, 2930, 2870 and 2860  $cm^{-1}$  and the presence of a diketopiperazine

system with the amide carbonyl absorptions at 1680 and 1655  $\text{cm}^{-1}$  together with the absence of amide II band near 1550  $\text{cm}^{-1}$  in the functional group region.

The  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra of **2** (Table 3), in which the methoxy signals ( $\delta_{\text{H}}3.80$  s and  $\delta_{\text{C}}55.46$  q) in **1** have been disappeared, showed slightly different signal patterns compared with those of **1**, probably because of the introduction of a double bond forming an enamine moiety fused to diketopiperazine skeleton<sup>11</sup>). In the  $^1\text{H}$  NMR spectrum, **2** showed signals due to an N-H proton ( $\delta 8.67$  br s, 1-H), an 1,2-disubstituted benzene ring ( $\delta 7.06$  br d,  $J=7.6$  Hz, 4-H;  $\delta 6.99$  td,  $J=7.6, 1.0$  Hz, 5-H;  $\delta 7.23$  td,  $J=7.6, 1.0$  Hz, 6-H and  $\delta 6.89$  br d,  $J=7.6$  Hz, 7-H), an isolated olefin proton ( $\delta 5.79$  s, 8-H) and two methyl ( $\delta 1.56$  s, 21- $\text{H}_3$  and  $\delta 1.26$  s, 22- $\text{H}_3$ ) groups together with signals due to several olefin or methine and methylene groups (Table 3). The  $^{13}\text{C}$  NMR spectrum of **2**, analyzed by the DEPT method, revealed the presence of three amide carbonyls ( $\delta 178.52$  s, C-2;  $\delta 162.60$  s, C-11; and  $\delta 155.09$  s, C-17)<sup>11</sup>) and two methyl ( $\delta 25.31$  q, C-21 and  $\delta 18.27$  q, C-22) groups along with six  $sp^2$  and two  $sp^3$  methine groups, four  $sp^2$  and a  $sp^3$  quaternary carbons, and three methylene groups (Table 3).

Detailed analyses of the  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra of **2** with the aid of  $^1\text{H}$ - $^1\text{H}$  COSY and PFG-HMQC spectroscopy, coupled with the results of difference NOE experiments and the above structural information, led us to postulate the existence of partial structures A, B and C (Fig. 3) in **2**. This was further confirmed by PFG-HMBC and long-range selective proton decoupling (LSPD)<sup>12</sup>) experiments as described below.

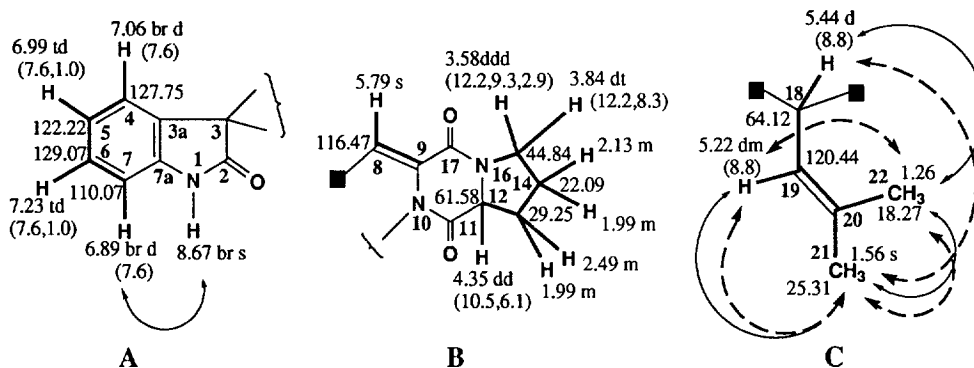


Fig. 3. Partial structures, A, B and C, and NMR data for **2**

Bold lines indicate spin systems obtained by the analyses of  $^1\text{H}$ - $^1\text{H}$  COSY and PFG-HMQC spectra. Dashed line arrows indicate long-range  $^1\text{H}$ - $^1\text{H}$  couplings observed in the  $^1\text{H}$ - $^1\text{H}$  COSY. Solid line arrows indicate NOE's observed in the difference NOE experiments.

In the PFG-HMBC spectrum (Table 3), the quaternary  $sp^2$  carbons at  $\delta 127.23$  (C-3a) and at  $\delta 140.68$  (C-7a) showed long-range correlations with the protons 1-H ( $\delta 8.67$ ), 5-H ( $\delta 6.99$ ) and 7-H ( $\delta 6.89$ ) and with the protons 4-H ( $\delta 7.06$ ), 6-H ( $\delta 7.23$ ) and 7-H in the partial structure A (Fig. 4), respectively, while the amide carbonyl carbon at  $\delta 178.52$  (C-2) and the quaternary  $sp^3$  carbon at  $\delta 61.87$  (C-3) showed long-range correlations

**Table 3.** 500 MHz  $^1\text{H}$  and 125 MHz  $^{13}\text{C}$  NMR data for Spirotryprostatin B (**2**) in chloroform- $d_3$ 

Positions	$\delta_{\text{H}}$ ( $J$ in Hz)	NOE's <sup>b)</sup>	$\delta_{\text{C}}$	HMBC			$^1J_{\text{CH}}$ in Hz <sup>c)</sup>
				2-bonds <sup>d)</sup>	3-bonds <sup>e)</sup>	4-bonds <sup>f)</sup>	
1 (NH)	8.67 br s	7	-----				
2	---		178.52 s	1g)	8, 18		
3	---		61.87 s	8, 18	1, 4		
3a	---		127.23 s		1,5,7,8,18		
4	7.06 br d (7.6)	5, 19	127.75 d		6	1	161
5	6.99 td (7.6, 1.0)		122.22 d	6	7		162
6	7.23 td (7.6, 1.0)		129.07 d	7	4		160
7	6.89 br d (7.6)	1, 6	110.07 d	6	5		162
7a	---		140.68 s	1, 7	4, 6		
8	5.79 s		116.47 d		18		184
9	---		138.18 s	8	18		
11	---		162.60 s	12	13 $\beta$ , 18	8	
12	4.35 dd (10.5, 6.1)	13 $\alpha$ , 14 $\alpha$	61.58 d	13	14 $\alpha$ , 15 $\beta$		142
13 $\alpha$	2.49 m	12, 13 $\beta$ , 14 $\alpha$	29.25 t	12	14 $\alpha$ , 15 $\alpha$		130
$\beta$	1.99 m						
14 $\alpha$	1.99 m		22.09 t	13, 15			130
$\beta$	2.13 m	13 $\beta$ , 14 $\alpha$ , 15 $\beta$					
15 $\alpha$	3.58 ddd (12.2, 9.3, 2.9)		44.84 t		13 $\alpha$		144
$\beta$	3.84 dt (12.2, 8.3)	14 $\beta$ , 15 $\alpha$					
17	---		155.09 s		8, 15 $\beta$		
18	5.44 d (8.8)	22	64.12 d	19	8		148
19	5.22 dm (8.8)	4, 21	120.44 d	18	21, 22		156
20	---		138.33 s	21, 22	18		
21	1.56 s	19, 22	25.31 q		19, 22		126
22	1.26 d (0.9)	18, 21	18.27 q		19, 21		130

a): Signal assignments were based on the results of  $^1\text{H}$ - $^1\text{H}$  COSY, PFG-HMQC, PFG-HMBC and difference NOE experiments. b): Numbers in the column indicate the protons at which NOE's were observed in the difference NOE experiment under irradiation of the proton in the corresponding line. c): Data in the column are values for the direct  $^1\text{H}$ - $^{13}\text{C}$  couplings, which were obtained by the nondecoupled  $^{13}\text{C}$  NMR experiments. d), e) and f): Numbers in each column respectively indicate the protons coupled with the carbon through two, three and four bonds, respectively, which were detected by the PFG-HMBC experiments with long-range coupling  $J_{\text{CH}}$  values of 8.3 and 4 Hz, respectively. When both  $\alpha$ -H and  $\beta$ -H in a methylene group showed long-range correlations with the carbon in the PFG-HMBC experiments, only the position number for the protons was given. g): Long-range coupling between 1-H and C-2 was detected in the PFG-HMBC spectrum measured with a long-range  $J_{\text{CH}}$  value of 4Hz.

with the proton 1-H and with the protons 1-H and 4-H, respectively. Therefore, they were allotted to the carbons C-3a ( $\delta$ 127.23), C-7a ( $\delta$ 140.68), C-2 ( $\delta$ 178.52) and C-3 ( $\delta$ 61.87) in the partial structure A,



respectively, and thus the partial structure A was confirmed (Fig. 4). It should be noted here that the long-range interaction between the amide proton 1-H and the lactam carbonyl carbon C-2 is very weak and the correlation peak could be detected in the PFG-HMBC spectrum measured with a long-range  $J_{CH}$  value of 4 Hz. A small value ( $2J_{CH} < 1$ ) of the long-range coupling constant between 1-H and C-2 was confirmed by the LSPD<sup>12)</sup> experiment under selective irradiation at 1-H (88.67). For the partial structure B, two amide carbonyl carbons at  $\delta 162.60$  and at  $\delta 155.09$  could be distributed to C-11 and C-17 and the quaternary  $sp^2$  carbon at  $\delta 138.18$  to C-9, respectively, according to the long-range correlations shown by solid line arrows on the partial structure B in Fig. 4. Formation of the five-membered heterocyclic ring by connection of C-12 and C-15 across the nitrogen atom at the position 16 in the partial structure B (Fig. 4) was evidenced by the long-range correlation between C-12 and 15- $H_\beta$  (83.84) in the PFG-HMBC spectrum and by the chemical shift values of C-12 ( $\delta 61.87$ ) and C-15 ( $\delta 44.84$ ). It is worthy to note here that long-range correlations through four bonds were clearly observed between C-4 and 1-H in the partial structure A and between C-11 and 8-H in the partial structure B, respectively, in the PFG-HMBC spectrum. These are ascribed to the W-form relationships<sup>13)</sup> between C-4 and 1-H and between C-11 and 8-H, respectively, and further supported the partial structures A and B. Next, for the partial structure C, the quaternary  $sp^2$  carbon at  $\delta 138.33$  could be assigned to C-20 according to the long-range correlations between the carbon ( $\delta 138.33$ ) and the protons 18-H, 21- $H_\alpha$  and 22- $H_\beta$ . Also some other long-range correlations confirming the partial structure C are given by solid line arrows on the partial structure C in Fig. 4.

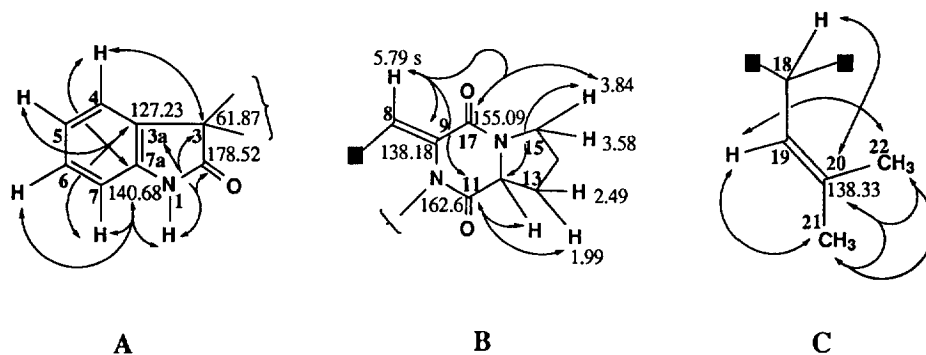


Fig. 4. Partial structures, A, B and C, and NMR data for 2

Solid line arrows indicate long-range  $^1H$ - $^{13}C$  couplings detected by the PFG-HMBC experiments with the long-range  $J_{CH}$  value of 8.3 or 4 Hz, respectively.

Then, the connectivities between the partial structures A, B and C were determined also by extensive analysis of the PFG-HMBC spectrum. As shown in Fig. 5, both the methine protons 8-H in the partial structure B and 18-H in the partial structure C showed long-range correlations with the carbons C-2, C-3 and C-3a in the

partial structure A and the latter 18-H further correlated with the amide carbonyl carbon C-11 in the partial structure B. Therefore, C-8 in the partial structure B and C-18 in the partial structure C could be connected across C-3 in the partial structure A and C-18 be further connected with C-11 across the nitrogen atom at the position 10 in the partial structure B to form a five-membered enamine ring<sup>14</sup>. Thus the planar structure of **2** was deduced (Fig. 5).

The relative stereochemistry at C-3 and C-18 of **2** in Chart 1, the same as that of **1**, was determined by NOE's observed between 4-H and 19-H in the difference NOE experiments under respective irradiation at 4-H or 19-H. Although executive NMR experiments could not give sufficient information to determine the relative stereochemistry at C-12 in **2**, the co-occurrence of **1** and **2** in the secondary metabolites of *Aspergillus fumigatus* enabled us to propose the proton 12-H in **2** being in the  $\alpha$ -direction, the same as that in **1**, from a consideration of the probably same biogenetic origin.

#### *Biological Activities of Spirotryprostatins A (1) and B (2)*

Cell cycle inhibitory activities for **1** and **2** were measured by using mouse tsFT210 cells and the bioassay was carried out by the synchronously cultured assay as we have previously reported<sup>5,8</sup>. The tsFT210 cell line is a temperature-sensitive p34<sup>cdc2</sup> mutant and the cells grew normally at 32°C, but were arrested in the G2 phase at 39°C. The G2-arrested cells by high temperature synchronously passed through M phase to enter into G1 phase when they were transferred to 32°C. Both spirotryprostatins A (**1**) and B (**2**) inhibited the cell cycle progression of tsFT210 cells at the G2/M phase when the G2-arrested cells allowed to pass through M phase to enter into G1 phase by releasing from the temperature-arrest.

Typical flow cytometric histograms for **1** and **2** are given in Fig. 6 and morphological observations of the corresponding cells are also given. Spirotryprostatin A (**1**) could inhibit the most portion of tsFT210 cells in the G2/M phase at a concentration of 253.2  $\mu$ M (Fig. 6), while spirotryprostatin B (**2**) completely inhibited the cell

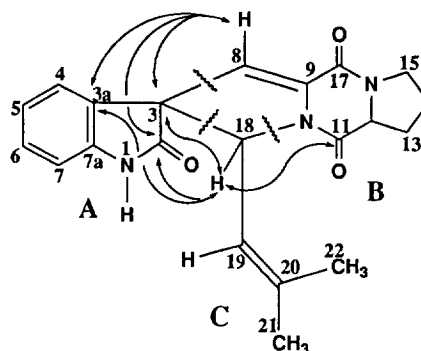


Fig. 5. Planar Structure and NMR data for **2**

cycle progression of tsFT210 cells in the G<sub>2</sub>/M phase at concentrations over 34.4  $\mu$ M like as shown in Fig. 6. Half maximal inhibitory concentrations of **1** and **2** were 197.5  $\mu$ M and 14.0  $\mu$ M, respectively.

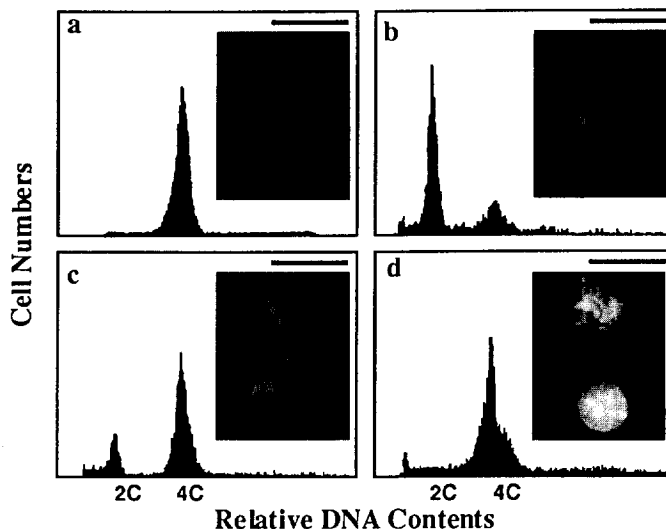


Fig. 6. Effects of **1** and **2** on the cell cycle progression of tsFT210 cells.

tsFT210 cells at a density of  $2 \times 10^5$  cells/ml in RPMI-1640 medium supplemented with 5% calf serum were synchronized in the G<sub>2</sub> phase by incubation at 39.4°C for 17 hours (a). Then the cells were transferred to 32°C for 4 hours in the absence (b) or in the presence of 253.2  $\mu$ M of **1** (c) and 34.4  $\mu$ M of **2** (d), respectively. Photographs show morphological characteristics of the corresponding cells observed under the fluorescence microscope after visualizing the cell nuclei by stain with Heochst 33258 reagent ( Bar = 23  $\mu$ m).

## DISCUSSIONS

The present work has provided two novel diketopiperazine alkaloids, spirotryprostatins A (**1**) and B (**2**), as new G<sub>2</sub>/M phase inhibitors of the mammalian cell cycle. The molecules of **1** and **2** have an unique structural skeleton with a spiro ring system composed from a  $\gamma$ -lactam fused to a benzene ring and a five-membered hetero ring fused to a diketopiperazine moiety, which are composed from a tryptophan unit, a proline residue and an isoprenyl group. The tryptophan unit has been modified by dihydrogenation at C<sub>2</sub>/C<sub>3</sub> and further oxidation at C<sub>8</sub>/C<sub>9</sub> positions in both **1** and **2** and modified further by dehydrogenation at C<sub>8</sub>/C<sub>9</sub> positions to form an enamine ring in **2**. Few kinds of spiro compounds belonging to the diketopiperazine series derived from tryptophan and proline residues had so far been known in the nature<sup>15</sup>), but none of them had the spiro ring skeleton like as those in **1** and **2**. On the other hand, tryptoquivalines are known as spiro compounds with a spiro ring system composed

from two heterocyclic rings both five-membered<sup>16</sup>), but the spiro rings in tryptoquivalines are different at all with those in **1** and **2**. Spirotryprostatins A (**1**) and B (**2**) provide the first example of a novel class of natural diketopiperazines with an unique spiro ring skeleton and the present result provides **1** and **2** as a new inhibitor of the mammalian cell cycle.

To the cell cycle progression of tsFT210 cells, **2** showed stronger inhibitory activity than that of **1**<sup>17</sup>). Previously we have reported<sup>9</sup>) that the methoxy group on the benzene ring in tryprostatin A and fumitremorgin C negatively dominated the cell cycle inhibitory activity for those compounds, compared with their demethoxy derivatives, tryprostatin B and demethoxyfumitremorgin C, respectively. The methoxy group in **1** is likely to play also the same role probably in the marked decrease of the inhibitory activity of **1** than that of **2** in view of the structural and biological results in the present study, although the structural difference at C<sub>8</sub>/C<sub>9</sub> positions between **1** and **2** might be also a factor should being in a consideration<sup>17</sup>).

In morphological observations, a certain portion of the tsFT210 cells treated by **1** and **2** showed morphological characteristics of the condensed chromosomes, which are typical of the M phase cells, and some others appeared with morphological characteristics of the G<sub>2</sub> phase cells (Fig. 6). This means that the tsFT210 cells treated by **1** and **2** are trapped both in G<sub>2</sub> and M phases. Incidentally, the portion of G<sub>2</sub> cells are increased dose-dependently in the tsFT210 cells treated by **1** and **2** (data not shown).

Detailed studies on their action mechanisms are currently being undertaken.

## EXPERIMENTAL SECTION

### *General Instrumental Analyses*

Melting points were measured using a Yanagimoto micro melting point apparatus and were uncorrected. Optical rotations were determined in CHCl<sub>3</sub> solutions on a JASCO DIP-370 polarimeter. UV spectra were taken with a Hitachi 220A spectrophotometer in MeOH solutions and IR spectra were recorded on a Shimadzu FTIR-8100M Fourier transform infrared spectrophotometer in KBr discs. EI-MS (ionization voltage, 70 eV, accelerating voltage, 3 kV) and HR-EI-MS were measured respectively on Hitachi M-80A and Hitachi M-80 mass spectrometers using a direct inlet system. <sup>1</sup>H and <sup>13</sup>C NMR spectra were taken on a JEOL GSX-500 or α-400 spectrometer with tetramethylsilane as an internal standard and chemical shifts are recorded in δ values. Multiplicities of <sup>13</sup>C NMR signals were determined by the DEPT method and are indicated as s (singlet), d (doublet), t (triplet) and q (quartet). 2D NMR spectra (<sup>1</sup>H-<sup>1</sup>H or PFG <sup>1</sup>H-<sup>1</sup>H COSY, PFG-HMQC and PFG-HMBC spectra) were measured on a JEOL GSX-500 or α-400 spectrometer by the use of JEOL standard pulse sequences and collected data were processed by JEOL standard software. Difference NOE spectra were obtained by the use of a JEOL standard pulse sequence with irradiation for 5 seconds.

### *Conditions for Isolation of the Diketopiperazines*

TLC was done on pre-coated silica gel 60 F<sub>254</sub> plates (0.25 mm thick, 20 x 20 cm, Merck) and the spots were detected under UV lights (254 and 365 nm) or by the use of 10% aqueous sulfuric acid reagent. Silica gel

60 (230-400 mesh, Merck) and reversed phase silica gel SSC ODS-7515-12A (Senshu Scientific Co. Ltd., Japan) were used for open column chromatography and preparative middle pressure liquid chromatography, respectively.

Analytical HPLC was carried out on a reversed phase column (CAPCELL PAK C-18 or C-8, 4.6 x 250 mm, Shiseido Co., Japan) by the use of a HPLC equipment with a Hitachi L-6000 pump and a Waters 991J photodiode array detector system under a flow rate of 1 ml/min. Preparative HPLC and preparative middle pressure liquid chromatography were performed on a HPLC system equipped with a Hitachi L-6000 pump and a SSC UV detector. CAPCELL PAK C-18 and C-8 columns (20 x 250 mm, Shiseido) were used in the preparative HPLC, and MMC-1-30-300 glass column (30 x 300 mm, Kyoushin Industry Co., Japan) packed with reversed phase silica gel SSC ODS-7515-12A were used for preparative middle pressure liquid chromatography.

#### ***Fermentation of the Producing Fungal Strain***

The producing fungal strain, *Aspergillus fumigatus*, on a potato dextrose agar slant was inoculated into a 500-ml cylindrical flask containing 100 ml of the seed medium consisting of glucose 3%, soluble starch 2%, soybean meal 2%,  $K_2HPO_4$  0.5%,  $MgSO_4 \cdot 7H_2O$  0.05% (adjusted to pH 6.5 prior to sterilization) and cultured at 28°C for 27 hours on a rotary shaker at 300 rpm. The culture was transferred into 18 liters of the seed medium with 0.05% of antifoam reagents CA-123 and KM-68, respectively, in a 30-liter jar fermenter. Further fermentation for seed culture was carried out at 28°C for 24 hours with an agitation rate of 350 rpm and an aeration rate of 9 liters/minute.

Then the producing fermentation was carried out in a 600-liter jar fermenter containing 400 liters of the production medium having the same composition of the seed medium with 0.05% of antifoam reagents CA-123 and KM-68, respectively. The seed culture (18 L) was transferred into the 600-liter fermenter and the fermentation was performed at 28°C for 66 hours with an agitation rate of 350 rpm and an aeration rate of 200 liters/min.

#### ***Separation of the Fermentation Broth***

The whole broth (410 L) was filtrated to separate to a broth supernatant (370 L) and a mycelial cake. The former was extracted once with 400 L of EtOAc to give an EtOAc solution and the latter was extracted with 90% aqueous acetone (400 L x 1 time) which was evaporated *in vacuo* to remove acetone to give an aqueous solution (60 L). The aqueous solution was extracted with EtOAc (120 L x 2 times) to afford 220 L of EtOAc solution. Both the EtOAc solutions obtained were combined and concentrated *in vacuo* to give an oily extract (1.2 L). To the oily extract, 1.2 L of *n*-hexane was added, and the extract was suspended by stirring followed by sonication for 10 minutes and then the *n*-hexane-insoluble part was obtained by centrifugation at 6000 rpm for 20 minutes. The *n*-hexane-insoluble part was treated two more times with *n*-hexane (1.2 L x 2) by the same manner to remove nonpolar oil fraction. To the *n*-hexane-insoluble part obtained,  $CHCl_3$  (1.2 L) was added and suspended by sonication for 10 minutes and then centrifuged for 20 minutes at 6000 rpm to obtain a  $CHCl_3$  solution. The

CHCl<sub>3</sub>-insoluble part was extracted one more time with CHCl<sub>3</sub> (1.2 L) by the same manner, and both the CHCl<sub>3</sub>-solutions obtained were combined and concentrated under reduced pressure to afford a CHCl<sub>3</sub>-soluble syrup. This was treated once more with *n*-hexane (1.2 L) as described above to give a *n*-hexane-insoluble part which was partitioned between CHCl<sub>3</sub>-H<sub>2</sub>O. The CHCl<sub>3</sub> solution obtained was concentrated under reduced pressure to give an active CHCl<sub>3</sub> extract (66 g) as powders.

The CHCl<sub>3</sub> extract (66 g) was solved in 250 ml of *n*-hexane-CHCl<sub>3</sub> (20:80) solution and subjected to a silica gel column packed in *n*-hexane (silica gel, 1500 g; bed volume, 7.5 x 75 cm; retention volume, 3 L). The column was then eluted successively with *n*-hexane-CHCl<sub>3</sub> [50:50 (7.5 L) → 25:75 (13.7 L) → 10:90 (9.5 L)] solution, CHCl<sub>3</sub> (1.2 L) and CHCl<sub>3</sub>-MeOH [99.5:0.5 (9 L) → 99:1 (1.2 L) → 98:2 (6 L) → 96:4 (9 L) → 90:10 (9 L) → 80:20 (10 L) → 70:30 (6 L)] solution, respectively. After elution with 7.5 L of *n*-hexane-CHCl<sub>3</sub> (50:50) solution which was collected in a portion, the eluate was collected in each 3 L portion, monitored by TLC, combined and concentrated *in vacuo* to give twenty five fractions [Fr.1, *n*-hexane-CHCl<sub>3</sub> (50:50) eluate; Fr.2-Fr.4, *n*-hexane-CHCl<sub>3</sub> (25:75) eluate; Fr.5-Fr.6, *n*-hexane-CHCl<sub>3</sub> (10:90) eluate; Fr.7, *n*-hexane-CHCl<sub>3</sub> (10:90) & CHCl<sub>3</sub> eluate; Fr.8-Fr.10, CHCl<sub>3</sub> eluate; Fr.11, CHCl<sub>3</sub> & CHCl<sub>3</sub>-MeOH (99.5:0.5) eluate; Fr.12-Fr.13, CHCl<sub>3</sub>-MeOH (99.5:0.5) eluate; Fr.14, CHCl<sub>3</sub>-MeOH (99.5:0.5 & 99:1) eluate; Fr.15-Fr.17, CHCl<sub>3</sub>-MeOH (99:1) eluate; Fr.18-Fr.20, CHCl<sub>3</sub>-MeOH (98:2) eluate; Fr.21-Fr.22, CHCl<sub>3</sub>-MeOH (96:4) eluate; Fr.23, CHCl<sub>3</sub>-MeOH (96:4 & 90:10) eluate; Fr.24 CHCl<sub>3</sub>-MeOH (90:10) eluate; Fr.25 CHCl<sub>3</sub>-MeOH (80:20 & 70:30) eluate].

#### *Isolation of Spirotryprostatins and Other Diketopiperazines*

The fraction Fr.7 (0.76 g) eluted by *n*-hexane-CHCl<sub>3</sub> (10:90) & CHCl<sub>3</sub> solutions from the silica gel column was recrystallized from MeOH to give demethoxyfunitremorgin C (141 mg) as pale yellow needles. The mother MeOH solution was separated by preparative HPLC on a CAPCELL PAK C-18 column using MeOH-H<sub>2</sub>O (60:40) as eluting solvent (detector wave length, 210 nm; flow rate, 10 ml/min) to give an active fraction fr.5 [retention time (Rt)=13 min, 32 mg], tryprostatin B (Rt=21 min, 64.4 mg) and demethoxyfunitremorgin C (Rt=30 min, 18.6 mg), respectively. The fraction fr.5 was further subjected to a HPLC separation on a CAPCELL PAK C-8 column with MeOH-H<sub>2</sub>O (40:60) eluting solution (detector wave length, 210 nm; flow rate, 10 ml/min) to give spirotryprostatin B (2, Rt=70.6 min, 11 mg) as a pale yellow crystalline solid from a MeOH solution.

The fraction Fr.8 (3.5 g) eluted by CHCl<sub>3</sub> from the silica gel column was recrystallized from MeOH to give funitremorgin C (345.5 mg) as pale yellow needles. The mother MeOH solution portion was separated by repeated preparative middle pressure liquid chromatography (detector wave length, 300 nm; flow rate, 35 ml/min) using MeOH-H<sub>2</sub>O (60:40 or 50:50) eluting solvent to give tryprostatin A (1048 mg) and funitremorgin C (458 mg) as pale yellow needles from MeOH, respectively.

The fraction Fr.14 (0.99 g) eluted by CHCl<sub>3</sub>-MeOH (99.5:0.5 & 99:1) solvents from the silica gel column

was separated by preparative HPLC on a CAPELL PAK C-18 column using MeOH-H<sub>2</sub>O (60:40) as eluting solvent (detector wave length, 220 nm; flow rate, 10 ml/min) to give crude **spirotryprostatin A (1)**, R<sub>t</sub>=17 min; 2.1 mg) which was further purified by repeated HPLC under the same condition to give 1.2 mg of pure **1** as pale yellow amorphous powders from a MeOH solution.

#### *Bioassay for Cell Cycle Inhibitory Activity*

The tsFT210 cells were routinely maintained at 32°C in RPMI-1640 medium supplemented with 5% calf serum (HyClone Inc., Logan, UT, USA) in the presence of 30 µg/ml of penicillin and 42 µg/ml of streptomycin under a humidified atmosphere of 5% CO<sub>2</sub> and 95% air. The cells were arrested in the G2 phase by incubation at 39.4°C for 17 hours and the cells were allowed to pass through M phase to enter into G1 phase by incubation at 32°C for 4 hours after treatment with the samples. Then distribution of the cells within cell cycle were determined by flow cytometry as we have previously reported<sup>8</sup>).

#### ACKNOWLEDGEMENTS

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11. The <sup>1</sup>H and <sup>13</sup>C NMR spectra of tryprostatin B (demethoxytryprostatin A) and demethoxyfumitremorgin C were closely resembled those of tryprostatin A and fumitremorgin C, respectively, except for the signals due to the aromatic ring moieties (*cf.* reference 9). In the case of **2**, however, both electronic and stereochemical affects from the double bond at the C<sub>3</sub>/C<sub>9</sub> positions might be arisen and probably be a main

- reason causing changes of both  $^1\text{H}$  and  $^{13}\text{C}$  NMR signal patterns comparing with those of **1**. For instance, two amide carbonyl carbons in the diketopiperazine part in **2** appeared in the markedly high field region (8162.60, C-11 and 8155.09, C-17) than the corresponding carbons in **1** (Table 2), tryprostatins and related diketopiperazine alkaloids (*cf.* reference 9).
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  14. It is noteworthy that the  $\text{C}_8$   $sp^2$  methine in **2** had a quite larger  $^1J_{\text{CH}}$  coupling constant (184 Hz) than those (Table 3) of the other  $sp^2$  methines in **2**, which is also larger than those (about 170 Hz) of the corresponding methines in general five-membered hetero rings containing a nitrogen atom. This supported also the structural skeleton deduced for **2**, in where electronegative substituents on or around the double bond at  $\text{C}_8/\text{C}_9$  positions, especially the C-17 carbonyl linked to C-9, probably resulted in an increase in the  $^1J_{\text{CH}}$  coupling constant of the C-8 methine group.
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  17. Incidentally, tryprostatins A (TPS-A), B (TPS-B), fumitremorgin C (FT-C) and demethoxyfumitremorgin C (DMFT-C) inhibited the cell cycle progression of tsFT210 cells at the M phase with  $\text{IC}_{50}$  values of 78.7  $\mu\text{M}$  for TPS-A, 18.8  $\mu\text{M}$  for TPS-B, 14.0  $\mu\text{M}$  for FT-C and 1.78  $\mu\text{M}$  for DMFT-C, respectively (*cf.* reference 8). Spirotryprostatin B (**2**) had the  $\text{IC}_{50}$  value of 14.0  $\mu\text{M}$ , being in the same order with those of the above compounds, while the  $\text{IC}_{50}$  value (197.5  $\mu\text{M}$ ) for spirotryprostatin A (**1**) is one order larger than those of **2** and the above compounds. To a certain extent, ratio of the  $\text{IC}_{50}$  values for TPS-A/TPS-B (78.7/18.8=7.9) and for FT-C/DMFT-C (14.0/1.78=4.2) might indicate the strength of negative effect of the methoxy group in those compounds on the cell cycle inhibitory activity, respectively, and the difference between the values (7.9 for TPS-A/TPS-B and 4.2 for FT-C/DMFT-C) might reflect the difference in the structural skeleton between two groups of those compounds. The ratio of  $\text{IC}_{50}$  values between **1** and **2** (197.5/14.0=14.1) is quite larger than those of the above compounds, in which the contributions from the different structural skeleton and an affection from the structural difference at the  $\text{C}_8/\text{C}_9$  positions between **1** and **2** might be involved except for the effect of methoxy group in **1**.

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